

12-31-01

Atty. Dkt. No. LOCT1100
(028248-3001)

JC978 U.S. PTO
10/032128
12/21/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Woods et al.

Title: **GEM-DIESTERS AND
EPOXIDIZED DERIVATIVES
THEREOF**

Appl. No.: Unassigned

Filing Date: December 21, 2001

| CERTIFICATE OF EXPRESS MAILING | |
|--|--|
| I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office To Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Commissioner for Patents, Washington, D.C. 20231. | |
| EV 003594665 US (Express Mail Label Number) | December 21, 2001 (Date of Deposit) |
| Joy Day (Printed Name) | |
|  (Signature) | |

**UTILITY PATENT APPLICATION
TRANSMITTAL**

Commissioner for Patents
Box PATENT APPLICATION
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the nonprovisional utility patent application of:

John Woods, Jianzhao Wang, and Jean Fréchet

[] Applicant claims small entity status under 37 CFR 1.27.

Enclosed are:

- [X] Cover Sheet, Specification, Claim(s), and Abstract (37 pages).
- [X] Formal drawings (3 sheets, Figures 1-5).
- [] Declaration and Power of Attorney (___ pages).
- [] Assignment of the invention to ASSIGNEE_COMPANY_NAME.
- [] Assignment Recordation Cover Sheet.

- ☒ Request for application not to be published with certification under 35 USC 122(b)(2)(B)(i).
- ☐ Information Disclosure Statement.
- ☐ Form PTO-1449 with copies of ___ listed reference(s).
- ☐ Application Data Sheet (37 CFR 1.76).
- ☒ Return Receipt Postcard.

The filing fee is calculated below:

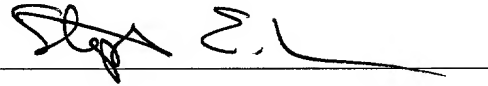
| | Claims as Filed | Included in Basic Fee | Extra Claims | Rate | Fee Totals |
|---|--------------------|--------------------------|-----------------|-------------------|---------------|
| Basic Fee | | | | \$740.00 | \$740.00 |
| Total Claims: | 55 | - 20 | = 35 | x \$18.00 | = \$630.00 |
| Independents: | 2 | - 3 | = 0 | x \$84.00 | = \$0.00 |
| If any Multiple Dependent Claim(s) present: | | | | + \$280.00 | = \$0.00 |
| Surcharge under 37 CFR 1.16(e) for late filing of Executed Declaration and late payment of filing fee | | | | + \$130.00 | = \$130.00 |
| | | | | SUBTOTAL: | = \$1500.00 |
| <input type="checkbox"/> Small Entity Fees Apply (subtract ½ of above): | | | | | = \$0.00 |
| | | | | TOTAL FILING FEE: | = \$1,500.00 |

- ☐ A check in the amount of \$_____ to cover the filing fee is enclosed.
- ☒ The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.
- ☐ The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-0872. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-0872.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date: December 21, 2001

By 

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